

# *Evaluatie in de Europese Commissie*

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# INHOUD

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# Definition of Evaluation in the Commission

"Evaluation is a judgement of interventions according to their results, impacts and the needs they aim to satisfy."

# Essentie evaluatie

## **Evaluatie ter ondersteuning van besluitvormingsproces**

- Bijdrage aan verbeterd design van beleidsmaatregelen
- Grotere transparantie/accountability omtrent de resultaten van beleid
- Factor voor bepaling politieke prioriteiten en efficiënte toewijzing middelen

# Onderwerp van evaluatie

- Evaluaties mogelijk voor alle activiteiten zoals gedefinieerd in de ABB (Activity Based Budgeting)
- Relevante coverage and focus incl. beleidsmaatregelen zonder budgettaire weerslag, wetgevende initiatieven, agentschappen
- Periodieke evaluatie van alle beleidsmaatregelen die impact hebben op externe partijen

# Evaluatie capaciteit

- Evaluatie systeem coherent met organisatiestructuur (gedecentraliseerd)
- Specifieke middelen (P&F) toegekend aan evaluatie
- Toenemende frequentie (235 in 2006)
- Mechanismen voor coördinatie (Netwerking, web based follow up (EIMS) en intern assessment)

# EC Evaluatie netwerk

## DG BUDGET

- **consolidatie** and **synthese** van evaluatie findings in operationele DGs
- **promotie** van good practice in de Commissie
- **advies** aan decentrale evaluatie functies
- **screening** van wetgeving

## Operationele DGs

- evaluatie beleidsdomein
- planning en coördinatie ifv regulerend kader
- management van evaluation projecten
- contributie aan beleidsdocumenten (Annual Evaluation Review, APS, Activity Statements, etc.)

# Wettelijk kader (1)

- SEM 2000 **Communication on evaluation** "Concrete steps towards best practice across the Commission" (Sec 96/659)
- **Commission Reform:**
  - **Standard n° 23 of the Internal Control standards:** evaluation function (ex ante - ex post, evaluation plan, follow-up of the conclusions of evaluation reports)
  - **Communication on evaluation (2000)** "Focus on results: Strengthening Evaluation of Commission Activities" (Sec 2000/1051)
  - Communications on **ABM** (2001, 2003)
  - Communication on **Impact Assessment** (2002)



# Wettelijk kader (2)

- **Financial Regulation 1605/2002 of the Council, (25/06/2002) and Implementing Rules, Commission Regulation 2342/2002 (see *hand-out*)**
- **Evaluation standards and best practice (C/2002/5267)**
- **Specific Regulations (Structural Funds, etc.)**

# Financial Regulation... (1)

## Principle of Sound Financial Management

- Article 27:
- Principles of economy, efficiency and effectiveness
  - SMART objectives - achievement shall be monitored by performance indicators
  - Obligation to provide ex ante and ex post evaluations for all programmes and activities which entail significant expenditure
- Article 28:
- All proposals submitted to the legislative authority which may have an impact on the budget must be accompanied by a legislative financial statement and the evaluation provided for in Article 27 (ex ante)

# Financial Regulation (2)

**Article 33**(d) the **activity statements** shall contain:

- achievement of objectives
- justification and cost-benefit for proposed changes in appropriations
- rationale for intervention at the EU level
- information on the implementation rates

Evaluation results shall be used as evidence of the likely merits of proposed budget changes

# .... Implementing Rules (2)

## Article 21 :

- Ex ante evaluation for all programmes or activities occasioning expenditure (list of points to be verified)
- **Interim and/or ex post** evaluations for all programmes, activities including pilot projects and preparatory actions where resourced exceed 5 Mio €:
  - Periodic Evaluation of multi-annual programmes
  - Evaluation of activities financed on an annual basis at least every 6 years
- Evaluations shall be proportionate to the resources and the impact

# Communicaties mbt evaluatie

- **1996** Communication on evaluation  
"Concrete steps towards best practice across the Commission"
- **2000** Communication on evaluation  
"Focus on results: Strengthening Evaluation of Commission Activities"
- **2007**: Communication on evaluation  
"Responding to strategic needs: reinforcing the use of evaluation"

# COMMUNICATION 2007

- Meer georiënteerd naar Strategische Beleidsplanning
- Meer gericht op noden van strategische besluitvorming

# Maatregelen ter bevordering van het gebruik van evaluatieresultaten

1. Stroomlijning van evaluatie standaarden
2. Integratie van evaluatie in EC performance systeem
3. Beter communicatie van evaluatie resultaten
4. Evaluaties: relevant coverage, focus en timing gericht op strategische informatiebehoefte

# Stroomlijning evaluatie standaarden

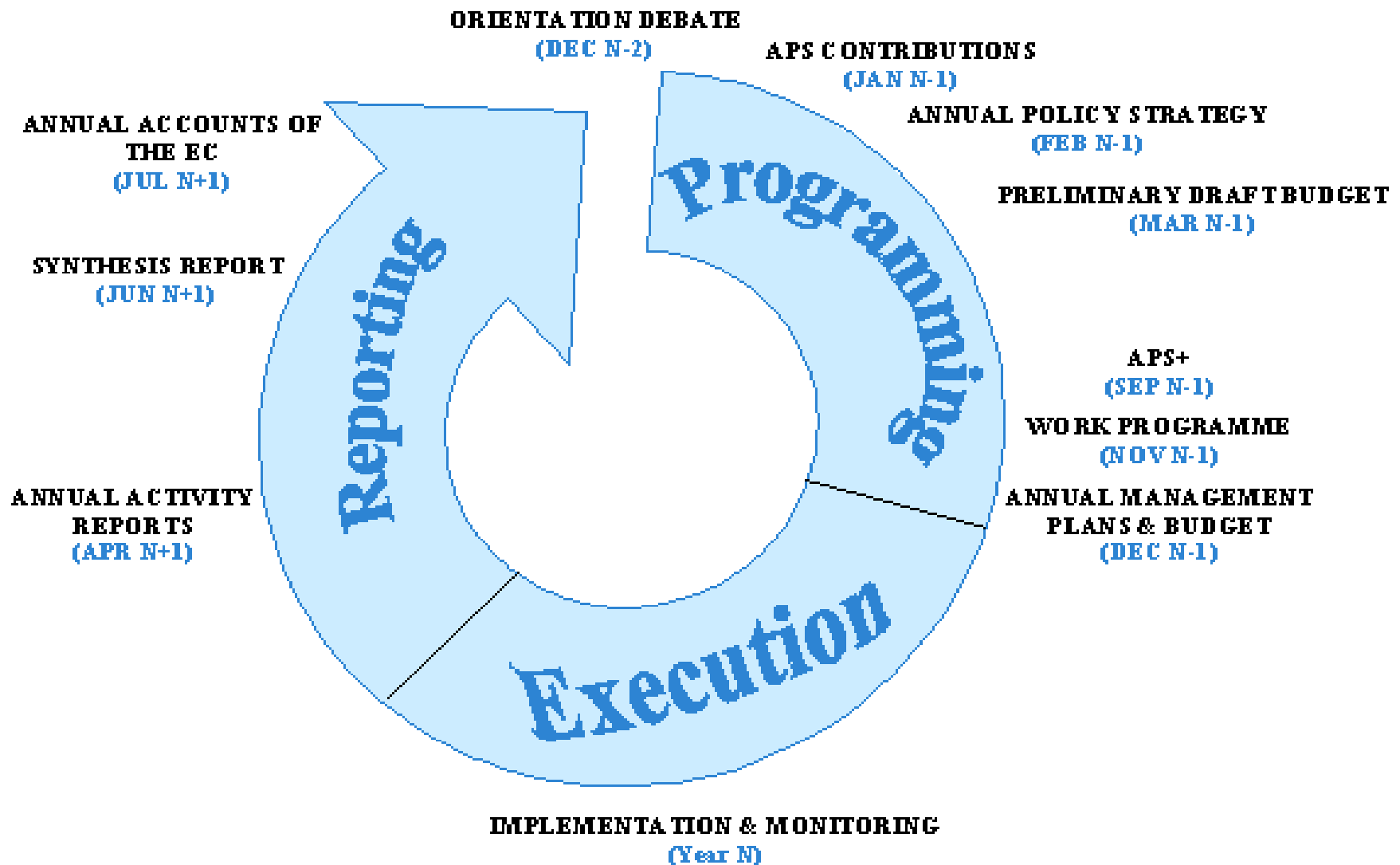
- 21 standaarden
- Gegroepeerd in 5 clusters:
  - **Middelen en organisatie** van evaluatiefunctie
  - **Planning** van evaluatie activiteiten
  - **Design** van evaluaties
  - **Implementatie** van evaluaties
  - **Communicatie en gebruik** van evaluatie resultaten



# Evaluatie in ABM/SPP cyclus

- Integratie Evaluatie informatie in ABM / SPP cyclus (Activity Based Management /Strategic Planning and Programming).
- Evaluatieresultaten als input voor besluitvorming omtrent doelstellingen, activiteiten en budget.

# Evaluation in the SPP cycle



# Activity Statements (2007)

## Main Sections

1. Name of the Activity
2. Numerical data related to the activity
3. Description and justification of EU intervention
4. Objectives, indicators, outputs, outcomes  
Justification and proposed variation in appropriations in the year 2007
5. Evaluation/follow-up (**independent section**)

# Evaluation information in AS for PDB 2008

## Section 3: “Description & Justification of the EU intervention”

- Available evaluation information should be used to justify the activity (e.g. need, added-value)

# Evaluation information in AS for PDB 2008 (2)

## Section 4: "Specific Objectives, indicators, outputs & Impacts of the Activity"

- relevant evaluation findings and follow-up measures should be presented
- evaluation information for individual actions needs to be related to the overall goals of ABB Activity
- evaluation results should be used to justify the budget level and the variations in appropriations

# Slotbemerking

Vernieuwd regulerend kader en Communicatie 2007 vormen het kader voor een meer strategische focus van evaluatie voor besluitvorming over een breder veld van beleidsdomeinen.

Uitdaging: Continue ontwikkeling van aangepaste evaluatiecapaciteit / Integratie van alle relevante actoren in evaluatieproces vergt omschakeling besluitvormingsprocessen

# Referenties (DG BUDG + SG)

## **EC Evaluation webpages**

[http://ec.europa.eu/budget/sound\\_fin\\_mgt/evaluation\\_en.htm](http://ec.europa.eu/budget/sound_fin_mgt/evaluation_en.htm)

## **EC Better Regulation**

[http://ec.europa.eu/governance/better\\_regulation/index\\_en.htm](http://ec.europa.eu/governance/better_regulation/index_en.htm)

## **EC Impact Assessment and evaluation:**

[http://ec.europa.eu/governance/impact/index\\_en.htm](http://ec.europa.eu/governance/impact/index_en.htm)